

Financial Services and Insurance

金融服務和保險

Postgraduate Certificate in Management and Insurance

Programme Code: AC123A

Application Code: 2245-AC123A

2867 8474 / 2867 8314

pgdipmi@hkuspace.hku.hk

The Postgraduate Certificate in Management and Insurance programme is designed to provide students with a broad understanding of risk and insurance. Upon completion of the programme, students are equipped with knowledge in the insurance industry and to develop professional competencies needed to be a leader in the field. The curriculum is designed based on the Specification of Competency Standards (SCSs) of the Insurance Industry in Hong Kong. The programme adopts a practical approach, equipping students with the appropriate level of skills to address the managerial and risk management aspects in the insurance industry under an increasingly stringent regulatory environment.

- R** Applicants shall:
- hold a bachelor's degree awarded by a recognized institution or
 - a recognized professional qualification plus five years of full-time work experience at senior managerial level in related industries
- AND
- provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
 - an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.

Applicants with other qualifications and relevant work experience will be considered on individual merit.

\$ HK\$4,000
Application Fee: HK\$150

D 9 months to 12 months

E English

Q Level 6 (Reg. No.: 23/000261/L6) Validity Period: 01 Apr 2023 - on-going

Advanced Diploma in Financial Planning

Programme Code: FN005A

Application Code: 2240-FN005A

2867 8468

cfp@hkuspace.hku.hk



This programme prepares students for the CFP® Certification Examination (Foundation Level) and CFP® Certification Examination (Advanced Level Paper 1 and Advanced Level Paper 2) organized by the Institute of Financial Planners of Hong Kong. The graduates of Advanced Diploma can further articulate to Degree programmes. Besides, degree holders can further their studies in finance postgraduate programmes (e.g., Postgraduate Diploma in Investment Management, Postgraduate Diploma in Investment Management and Financial Intelligence, Postgraduate Diploma in Finance and Data Analytics, Postgraduate Diploma in FinTech and Legal Regulations, and Postgraduate Diploma in Financial Analytics and Algo Trading).

- R** Applicants should
- have gained in the HKCEE Grade E in 3 subjects and Level 2 in Chinese Language and English Language; OR
 - have gained in the HKDSE Level 2 in 5 subjects;
 - be aged 21 years of age or above and have 3 years of work experience.
- IFPHK candidacy is a pre-requisite for the Advanced Diploma in Financial Planning Programme
- **Please upload copy of HKID and complete Employment Information while applying online.

\$ Business modules HK\$4,000 - HK\$6,000
AFP module: HK\$16,800
CFP level 1 & 2 modules: HK\$5,750 each module
Application Fee: HK\$150

D 1 year to 2 years

E English

Q Level 4 (Reg. No.: 14/003649/L4) Validity Period: 01 Jan 2015 - on-going

Executive Certificate in Marine Insurance

Programme Code: EP142A

Application Code: 2135-EP142A

2867 8476

ecmi@hkuspace.hku.hk

The programme will primarily appeal to financial services executives who wish to equip themselves with latest knowledge in marine insurance for their professional advancement. The secondary target market includes business executives or new graduates who aim to take up opportunities in insurance sector with a focus of marine insurance.

\$ HK\$9,000
Application Fee: HK\$150

D 3 months

E English

Certificate for Module (Certified Insurance Professionals Induction Course)

Programme Code: AC153A

Application Code: 2185-AC153A

2867 8487

shortcourse@hkuspace.hku.hk

The programme aims to equip insurance practitioners with knowledge of insurance, financial planning and related investment products. It will also cover business ethics and regulations for insurance, financial and investment planning in Hong Kong market.

\$ HK\$8,000

D 3 months

Q Level 4 (Reg. No.: 24/000274/L4) Validity Period: 01 Mar 2024 - on-going

Certificate for Module (CFA Level I Examination Preparatory Programme)

Programme Code: FN089A

Application Code: 2190-FN089A

2867 8468

cfa@hkuspace.hku.hk

The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level I examination. It will provide students with knowledge of quantitative methods, economic and financial analysis, and portfolio management. It also covers the ethical and professional standards requirement for an asset manager.

\$ HK\$13,200

D 2 months to 3 months

E English

Q Level 6 (Reg. No.: 22/001002/L6) Validity Period: 01 Dec 2022 - on-going

Certificate for Module (CFA Level II Examination Preparatory Programme)

Programme Code: FN090A

Application Code: 2185-FN090A

2867 8468

cfa@hkuspace.hku.hk

The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level II examination. It will provide students with the knowledge in economic and corporate financial analysis, the valuation techniques of investment assets and issues of portfolio management.

\$ HK\$15,200

D 2 months to 3 months

E English

Q Level 6 (Reg. No.: 22/001003/L6) Validity Period: 01 Dec 2022 - on-going

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R Minimum Entry Requirements 基本入學要求 (P.017)

\$ Fee 學費

D Duration 修業期

E Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

Certificate for Module (CFA Level III Examination Preparatory Programme)

Programme Code: FN091A

Application Code: 2185-FN091A

2867 8468

cfa@hkuspace.hku.hk



The programme aims to prepare students to sit for the Chartered Financial Analyst (CFA) Level III examination. It will provide students with the advanced knowledge to evaluate various asset classes in investment portfolios and perform asset allocation in synthesized cases. It also enables students to perform wealth planning and portfolio management holistically.

- R** Applicants should hold a degree awarded by a recognised institution. Applicants should check with the CFA Institute that they are eligible to attempt the examination.
- \$** HK\$17,800
- D** 2 months to 3 months English
- Q** Level 6 (Reg. No.: 22/001004/L6) Validity Period: 01 Dec 2022 - on-going

Certificate for Module (Insurance Contract and Compliance)

Programme Code: AC107A

Application Code: 2175-AC107A

2867 8474 / 2867 8314

pgdipmi@hkuspace.hku.hk



The programme aims to equip students with professional knowledge and skills to manage insurance contracts and assess possible risks and threats under an increasingly stringent regulatory environment in the insurance industry.

- R** Applicants shall:
 - hold a bachelor's degree awarded by a recognized institution or
 - a recognized professional qualification plus five years of full-time work experience at senior managerial level in related industries
 AND
 provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
 - an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - HKALE Use of English at Grade E or above; or
 - HKDSE Examination English Language at Level 3 or above; or
 - equivalent qualifications.
 Applicants with other qualifications and relevant work experience will be considered on individual merit.
- \$** HK\$8,000 per programme
Application Fee: HK\$150
- D** 33 hours English
- Q** Level 6 (Reg. No.: 21/001079/L6) Validity Period: 01 Oct 2021 - on-going

Chartered Financial Analyst Refresher Course - Level 1

Programme Code: FINA9277

Application Code: 2180-2567NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 1 exam questions.

- R** There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.
- \$** HK\$3,300
- D** 10 hours Cantonese, suppl with English

Chartered Financial Analyst Refresher Course - Level 2

Programme Code: FINA9278

S

Application Code: 2185-1437NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 2 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

- R** There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.
- \$** HK\$3,300
- D** 10 hours Cantonese, suppl with English

Chartered Financial Analyst Refresher Course - Level 3

Programme Code: FINA9324

S

Application Code: 2235-1335NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 3 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

- R** There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.
- \$** HK\$3,300
- D** 10 hours Cantonese, suppl with English

CFA Practical Skills Module Workshop Series

CFA Practical Skills Module Workshop Series (Analyst Skills)

Programme Code: FINA9368

S

Application Code: 2190-1741NW

2867 8468

cfa@hkuspace.hku.hk



This is a 9-hour short course to focus on CFA Practical Skills Module. Students will learn the skills required for success as buy-side and sell-side equity research analysts. Students will also explore how to conduct thorough industry research, research companies strategically, forecast accurately, identify mispricings, and make recommendations based on their work.

- \$** HK\$3,600
- D** 9 hours
- Cant, suppl with English (lecture materials in Eng)

CFA Practical Skills Module Workshop Series (Financial Modeling)

Programme Code: FINA9366

S

Application Code: 2190-1747NW

2867 8468

cfa@hkuspace.hku.hk



This is a 9-hour short course to focus on CFA Practical Skills Module. Students will learn how to build three-statement financial models as it is done on Wall Street. Students will explore best practices, discover optimal model flow and design, strengthen Excel practical skills and tackle more complex modeling cases in practice.

- \$** HK\$3,600
- D** 9 hours
- Cant, suppl with English (lecture materials in Eng)

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

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CFA Practical Skills Module Workshop Series (Python Programming Fundamentals)

Programme Code: FINA9367

Application Code: 2190-1746NW

2867 8468

cfa@hkuspace.hku.hk

This is a 9-hour short course to focus on CFA Practical Skills Module. Students will explore the basics of Python and how to use Jupyter Notebooks to develop, present, and share data science projects related to finance. Also, the workshop assists students to quickly build up their coding skills and apply them to dozens of industry-specific examples.

HK\$3,600

9 hours

Cant, supp with English (lecture materials in Eng)

CFA Practical Skills Module Workshop Series (Python, Data Science & AI)

Programme Code: FINA9369

Application Code: 2190-1739NW

2867 8468

cfa@hkuspace.hku.hk

This is a 9-hour short course to focus on CFA Practical Skills Module. Students will follow the data science workflow from financial data ingestion to training artificial neural networks. Also, students will have the opportunity to pull financial data and use the standard tools and techniques to prepare it to deliver insights, work through an example of forecasting percentage change in EPS, and explore a common natural language processing task of sentiment analysis.

HK\$3,600

9 hours

Cant, supp with English (lecture materials in Eng)

Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Award in General Insurance (Hong Kong)

Programme Code: FINA9343

Application Code: 1875-1807NW

2867 8475

cii@hkuspace.hku.hk

This exam preparatory programme does not include CII examination. For candidates who are interested to enrol to CII examination of Award in General Insurance (Hong Kong), please contact the Chartered Insurance Institute at +852 2520 0098 or hkenquiries@cii.co.uk

The examination enrolment fee is £92 for CII members and £100 (a discounted offer) for non-CII members. The fee includes all admission fee and e-studytext. For non-CII members, candidates will need to complete membership declaration form and send to hkenquiries@cii.co.uk

HK\$1,200

7 weeks to 10 weeks

Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Insurance Claims Handling (non-UK)

Programme Code: FINA9345

2867 8475

cii@hkuspace.hku.hk

Unit WCE provides you with knowledge and understanding of the basic principles of the claims handling process which can be applied in your region.

This unit covers the claims handling process including notification, assessment, settlement and associated financial factors. It looks at the knowledge and skills you will need to handle claims in a professional, consistent and accurate manner. Claims handling is of such importance, it also considers claims handling within the wider context of the systems, process and functions that surround it.

HK\$1,500 (exclude CII exam fee)

5 weeks to 7 weeks

Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Insurance Underwriting (non-UK)

Programme Code: FINA9344

Application Code: 1875-1809NW

2867 8475

cii@hkuspace.hku.hk

This exam preparatory programme does not include CII examination. For candidates who are interested to enrol to CII examination of Insurance Underwriting (non-UK), please contact the Chartered Insurance Institute at +852 2520 0098 or hkenquiries@cii.co.uk.

The examination enrolment fee is £92 for CII members and £100 (a discounted offer) for non-CII members. The fee includes all admission fee and e-studytext. For non-CII members, candidates will need to complete membership declaration form and send to hkenquiries@cii.co.uk.

HK\$1,500

7 weeks to 10 weeks

Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Introduction to Risk Management

Programme Code: FINA9346

2867 8475

cii@hkuspace.hku.hk

The I11 unit gives a comprehensive introduction to the world of risk management by outlining the important issues surrounding risk management and the many factors that might increase an organisations exposure to risk.

HK\$2,100

6 weeks to 10 weeks

Designing a Detailed Retirement Plan

Programme Code: FINA9263

Application Code: 2250-2092NW

2520 4612

finsc@hkuspace.hku.hk

This workshop focuses on helping individual design a solid financial plan to fund his/her retirement. You will be working on your own retirement planning, remember to bring your own calculator to the workshop.

HK\$2,600

年長學員優惠: HK\$2,080

1 day

cantonese

個人財務策劃工作坊系列 - 整合財務安排及保險策劃

課程編號: FINA9335

報名代碼: 2235-1573NW

2520 4612

finsc@hkuspace.hku.hk

本課程包括兩個不同主題的工作坊，每一個工作坊為3小時，兩個工作坊提供總學習時數為6小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

HK\$2,200

課程/科目組合優惠: HK\$2,100

年長學員優惠: HK\$1,760

2 個星期

粵語

See legend on page 031 圖像說明於第031頁

R Minimum Entry Requirements 基本入學要求 (P.017)

S Fee 學費

D Duration 修業期

M Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

S Short Course 短期課程

For more and latest programme information, please visit our website 有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

個人財務策劃工作坊系列 - 財務策劃及信托安排

課程編號：FINA9334

報名代碼：2240-1285NW



☎ 2520 4612

✉ finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$2,200
課程/科目組合優惠：HK\$2,100
年長學員優惠：HK\$1,760

📅 1日

🇪🇵 粵語

個人財務策劃工作坊系列 - 財務策劃及遺產管理

課程編號：FINA9333

報名代碼：2250-2108NW



☎ 2520 4612

✉ finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$2,200
課程/科目組合優惠：HK\$2,100
年長學員優惠：HK\$1,760

📅 1日

🇪🇵 粵語

FinTech and Financial Intelligence

金融科技

Postgraduate Diploma in Finance and Data Analytics

Programme Code: FN050A

Application Code: 2245-FN050A



☎ 2867 8476

✉ finpgd@hkuspace.hku.hk

The programme covers a wide range of knowledge in Statistical Analysis, Corporate Financial Management, Risk Analysis and Asset Allocation, as well as Investment and Portfolio Management, Financial Modelling and Analytics Software. Students will learn how to apply the knowledge and techniques used by market professionals and refresh their investment knowledge using big data.

R Applicants shall hold a bachelor's degree in quantitative areas (e.g., mathematics, engineering, statistics, computer science, economics, finance) awarded by a recognised institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merit.

Remark: Applicants with relevant academic and/or professional qualifications may approach the Programme Team for application of exemption.

💰 HK\$10,000 per module
Application Fee: HK\$150

📅 1 year to 2 years

🇪🇵 English

📄 Level 6 (Reg. No.: 20/000411/L6) Validity Period: 01 Jul 2020 - on-going

Postgraduate Diploma in Financial Analytics and Algo Trading

Programme Code: FN092A

Application Code: 2235-FN092A



☎ 2520 4612

✉ finpgd@hkuspace.hku.hk

This programme aims to impart inter-disciplinary knowledge of quantitative finance and machine intelligence to students who are interested in financial analytics and algo trading. It examines contemporary elements in Environmental, Social and Governance (ESG) investing, financial risks and investment portfolios. It also discusses the applications of computational tools to analyse quantitative data and qualitative data, build financial models, perform financial analysis and text analytics to assist investment decision making. The programme illustrates the applications of artificial intelligence (AI) and machine learning to perform financial analytics as well as the usage of algo trading in implementing quantitative investment strategies.

💰 HK\$11,000
2 installment: 1st - three modules: HK\$33,000 and
2nd - three modules: HK\$33,000
Application Fee: HK\$150

📅 1 year to 2 years

🇪🇵 English

📄 Level 6 (Reg. No.: 22/000999/L6) Validity Period: 01 Dec 2022 - on-going

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

NCR3

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。